



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED
MARCH 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Yogesh Kumar Garg, Compliance Officer of the Company, have examined the following compliance requirement of DELTA INDUSTRIAL RESOURCES LIMITED and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations)**:

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	Yes	
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	The UPSI is captured in the Database maintained internally by the Company.
4.	Whether the recipient was upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	No	The standing instructions to connected persons is given about UPSI being shared with respect to Financial Results and Dividend and at the time when any other UPSI event is arisen, the information to connected persons is shared and informed in advance about the information being UPSI.
5.	Whether nature of UPSI have been captured along with date and time?	Yes	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	The UPSI is captured in the Database maintained internally by the Company.



Delta Industrial Resources Limited

CIN : L52110DL1984PLC019625

7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	No	Not applicable
8.	Whether the database has been maintained internally?	Yes	-do-
9.	Whether audit trail is maintained?	Yes	
10.	Whether time stamping is maintained?	Yes	
11.	Whether the database is non-tamperable?	Yes	
12.	Any other measures to ensure non-tamperability of the Database?	Yes	

Note: - The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.

The number of days for which non-compliance was observed: NIL

Further I also confirm that the Company was required to capture NIL number of events during the quarter/half year ended and has captured NIL number of the said required events.

For DELTA INDUSTRIAL RESOURCES LIMITED

Yogesh Kumar Garg
Compliance Officer

Date: 15.04.2023

Place: NewDelhi

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.